



I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON

Date of Deposit: July 12, 2001

Name of Person

Making Deposit: Karen Cinq-Mars

Signature: *Karen Cinq-Mars 7/12/01*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
In Re Application of: JUAN FERRERA, ET AL.	July 12, 2001
	Examiner:
Serial No. 09/809,766 Filing Date: 03/15/2001	Group Art Unit:
Title: SPATIAL PHASE LOCKING WITH SHAPED ELECTRON BEAM LITHOGRAPHY	IBM Corporation 2070 Route 52 Dept. 18G, Bldg. 300-482 Hopewell Junction, NY 12533

RESPONSE TO
NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application dated May 15, 2001, enclosed is the Declaration and Power of Attorney for Patent Application for the above identified application.

- ✓ Please charge Deposit Account No. 09-0458 (FI-408) in the amount of \$130.00. A duplicate copy of this sheet is enclosed.
- ✓ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0458. A duplicate copy of this sheet is enclosed.
- ✓ Any additional filing fees required under 37 CFR §1.16.
- ✓ Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,
JUAN FERRERA, ET AL.

by: *Tiffany L. Townsend*
Tiffany L. Townsend
Attorney, Reg. No. 43,199
Tel. (845) 894-3668

FIS920000237US1

09809766-072001



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
Sector *SH*
3
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,766	03/15/2001	Juan Ferrera	FIS920000237US1

CONFIRMATION NO. 4985

FORMALITIES LETTER



OC000000006078249

International Business Machines Corporation
2070 Route 52
Hopewell Junction, NY 12533

Date Mailed: 05/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

A.J.
Customer Service Center

09809766 072001

07/24/2001 09809766 09809766 072001 130.00 CH 01 FEB 2005

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09809766 "072001
1002/0" 99460860